

# Demonstration of the Collatz Conjecture”. Why the Collatz conjecture always ends in the 4, 2, 1 cycle. Analysis of odd numbers and convergence to the 4, 2, 1 cycle.

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To analyze the Collatz conjecture, we will distribute the positive integers into triplets.

k	3k+1	3k+2	3k+3
0	1	2	3
1	4	5	6
2	7	8	9
3	10	11	12
4	13	14	15
5	16	17	18
6	19	20	21
7	22	23	24
8	25	26	27
9	28	29	30

<b>k</b>	<b>3k+1</b>	<b>3k+2</b>	<b>3k+3</b>
10	31	32	33
11	34	35	36
12	37	38	39

From that table, the following can be observed: \* If the index k is even, the triplets are {Odd, Even, Odd}. \* If the index k is odd, the triplets are {Even, Odd, Even}.

We could represent it in the following way:

<b>k</b>	<b>3k+1</b>	<b>3k+2</b>	<b>3k+3</b>
0	n(mod2)=1	n(mod2)=0	n(mod2)=1
1	n(mod2)=0	n(mod2)=1	n(mod2)=0
2	n(mod2)=1	n(mod2)=0	n(mod2)=1
3	n(mod2)=0	n(mod2)=1	n(mod2)=0
4	n(mod2)=1	n(mod2)=0	n(mod2)=1
5	n(mod2)=0	n(mod2)=1	n(mod2)=0
6	n(mod2)=1	n(mod2)=0	n(mod2)=1
7	n(mod2)=0	n(mod2)=1	n(mod2)=0
8	n(mod2)=1	n(mod2)=0	n(mod2)=1
9	n(mod2)=0	n(mod2)=1	n(mod2)=0
10	n(mod2)=1	n(mod2)=0	n(mod2)=1
11	n(mod2)=0	n(mod2)=1	n(mod2)=0
12	n(mod2)=1	n(mod2)=0	n(mod2)=1

The Collatz conjecture has certain interesting aspects, among them the fact of multiplying every odd number by 3 and then adding 1 ( $3k+1$ ), we will focus our analysis on this.

To do this, we will define some basic rules regarding the analysis of triplets that will help us understand the Collatz conjecture a little more.

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## Mathematics for the analysis of triplets

- If we select an odd index  $k$ , the result of  $3k+1$  will be an even number.
- If we divide an even number obtained from the  $3k+1$  operation (for a given index  $k$ ) by two, the result will be a number of the form  $3j+2$ , where  $j$  is the quotient of dividing  $k/2$ . The operation can be written as:  $j = \text{floor}(k/2)$ .
- If we divide an even number from the  $3k+2$  operation (for a given index  $k$ ) by two, the result will be a number of the form  $3j+1$ , where  $j$  is the quotient of dividing  $k/2$ . The operation can be written as:  $j = \text{floor}(k/2)$ .
- Every  $k$ -index of the form  $k=1+4(n-1)$  is an odd number that within the Collatz conjecture will generate an even number of the form  $ck=4+12(n-1)$ , which will be divisible by four. If the number is divisible by two an even number of times, the number will converge upward; if, on the contrary, it is divisible an odd number of times, it will not converge upward in the first interactions of the Collatz rules.
- Every  $k$ -index of the form  $k=3+4(n-1)$  is an odd number that within the Collatz conjecture will generate an even number of the form  $ck=10+12(n-1)$ , which will be divisible by two only once. This type of number does not converge upward in the first iterations of the Collatz rules.
- If the  $k$ -index is of the form  $k=(2^n - 1)/3$  for all even  $n \{n(\text{mod}2)=0\}$ , this will generate an odd number that, when applying the  $3k+1$  rule, will generate an even number of the form  $2^m$ .

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## What is upward convergence?

upward convergence is when at each step of the Collatz conjecture the  $k$ -index is reduced in each iteration of the Collatz process. To exemplify this, we will use the odd numbers of the form  $ck=4+12(n-1)$ .

These would be the  $k$ -indices to analyze.

<b>n</b>	<b><math>K=1+4(n-1)</math></b>
1	1
2	5

<b>n</b>	<b><math>K=1+4(n-1)</math></b>
3	9
4	13
5	17
6	21
7	25
8	29
9	33
10	37
11	41
12	45
13	49
14	53
15	57
16	61
17	65
18	69

For practical purposes, as an example, we will analyze the case for the k-index 17.

<b>k-index</b>	<b><math>3x+1</math></b>	<b><math>3x+2</math></b>	<b><math>3x+3</math></b>
0	1	2	3
1	4	5	6
2	7	8	9
3	10	11	12

<b>k-index</b>	<b>3x+1</b>	<b>3x+2</b>	<b>3x+3</b>
4	13	14	15
5	16	17	18
6	19	20	21
7	22	23	24
8	25	26	27
9	28	29	30
10	31	32	33
11	34	35	36
12	37	38	39
13	40	41	42
14	43	44	45
15	46	47	48
16	49	50	51
17	52	53	54

The statement of the Collatz conjecture tells us the following: “If it is odd, multiply by 3 and add 1; if it is even, just divide by two”

for our case, 17 is odd, so we multiply by 3x+1 and get 52, 52 is even and we divide by two, following the rules defined previously  $j = \text{floor}(17/2) = 8$ , so  $3j+2$  would be 26, 26 is even so  $k = \text{floor}(8/2) = 4$  and  $3j+1$  would be equal to 13, which is odd.

<b>k-index</b>	<b>3x+1</b>	<b>3x+2</b>	<b>3x+3</b>
1			
2			
3			

<b>k-index</b>	<b><math>3x+1</math></b>	<b><math>3x+2</math></b>	<b><math>3x+3</math></b>
4	13		
5			
6			
7			
8		26	
9			
10			
11			
12			
13			
14			
15			
16			
17	52		

Now 13 is odd, we multiply by 3 and add 1, this gives us 40,  $j=\text{floor}(13/2)=6$  so  $3j+2$  is equal to 20, 20 is even,  $k=\text{floor}(6/2)=3$ , then  $3k+1$  is equal to 10, 10 is even so  $j=\text{floor}(3/2)=1$ , then  $3j+2$  is equal to 5

<b>k-index</b>	<b><math>3x+1</math></b>	<b><math>3x+2</math></b>	<b><math>3x+3</math></b>
0			
1			5
2			
3	10		
4			

<b>k-index</b>	<b><math>3x+1</math></b>	<b><math>3x+2</math></b>	<b><math>3x+3</math></b>
5			
6			20
7			
8			
9			
10			
11			
12			
13	40		
14			
15			
16			
17			

Now 5 is odd, so we multiply by 3 and add 1, this gives us 16 (if the index is odd the result of applying the Collatz rules will always give us an even number),  $j=\text{floor}(5/2)=2$ , then  $3j+2$  is equal to 8, 8 is even so  $k=\text{floor}(2/2)=1$ , then  $3k+1$  is equal to 4 four is even and we divide by 2, 2 is even and we divide by 1, 1 is odd and we multiply by 3 and add 1, we have reached the Collatz cycle.

<b>k-index</b>	<b><math>3x+1</math></b>	<b><math>3x+2</math></b>	<b><math>3x+3</math></b>
0			
1		5	
2			8
3			
4			

k-index	$3x+1$	$3x+2$	$3x+3$
5	16		
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			

This is “upward convergence,” no k-index was greater than the initial k-index of 16.

When the numbers take the form  $ck=10+12(n-1)$  or a number of the form  $ck=10+12(n-1)$  appears in some iteration, the upward convergence is slower, but it will eventually converge.

For example, we can start with a number of the form  $ck=4+12(n-1)$  that is divisible an odd number of times by 2.

as an example we take the k-index 25, by the rules described above we already know without calculating that  $3x+1$  is even and in our case it is 76, 76 is even,  $j=\text{floor}(25/2)=12$ ,  $3j+2$  is equal to 38, 38 is even,  $k=\text{floor}(12/2)=6$ ,  $3k+1$  is equal to 19, 19 is odd but it is of the form  $k=3+4(n-1)$  so it will generate a number of the form  $ck=10+12(n-1)$ . 19 times 3 plus 1 is equal to 58,  $j=\text{floor}(19/2)=9$ , then  $3j+2$  is equal to 29, 29 is odd, but at the same time it is a k-index greater than the initial k-index of 25. 25 does not converge upward in the first iterations. 29 times 3 plus 1 is equal to 88, 88 is even,  $j=\text{floor}(29/2)=14$ ,  $3j+2=44$ , 44 is even,



$k=\text{floor}(14/2)=7$ ,  $3k+1=22$ , 22 is even,  $j=\text{floor}(7/2)=3$ ,  $3j+2$  is equal to 11, 11 is odd so  $3k+1$  is even and is equal to 34,  $j=\text{floor}(11/2)=5$ ,  $3j+2$  is equal to 17, 17 is odd and  $3k+1$  is even and equal to 52, which we know from the previous example that it will quickly converge to 4, 2, 1.

## The Collatz conjecture is true.

The Collatz conjecture is true, since it is essentially a cyclical process that eventually alternates between numbers of the form  $3k+1$  and  $3j+2$ , and this will always occur, since as soon as we find an odd number we will apply the  $3x+1$  rule and at that point we will always essentially oscillate between two columns of numbers.

On the other hand, the number  $ck=4+12(n-1)$ , as the reduction process progresses, the  $k$ -index will decrease by 4 each time we find a prime number and are in an upward convergent cycle, so that in each step  $(n-1)$  will approach zero, until  $n=1$ .