

# Katz's new proof of RH for curves and hypersurfaces over finite fields

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Let  $U_0/\mathbb{F}_q$  be an affine, smooth geometrically connected curve. Let  $\mathcal{F}$  be an  $\ell$ -adic local system or lisse  $\overline{\mathbb{Q}}_\ell$ -sheaf. It is given as a continuous, f.d. representation of  $\pi_1^{arith}(U_0)$  over  $\overline{\mathbb{Q}}_\ell$ .

Define the Hasse-Weil L-function

$$L(U_0/\mathbb{F}_q, \mathcal{F}, t) := \prod_{\mathcal{P} \text{ closed points}} \det(1 - T^{\deg(\mathcal{P})} Frob_{\mathcal{P}}|_{\mathcal{F}})^{-1}$$

(a priori this lies in  $1 + T\overline{\mathbb{Q}}_\ell[[T]]$ ). Grothendieck's cohomological formula essentially says that we can understand this  $L$ -function with each Euler factor equal to the inverse of the characteristic polynomial of local Frobenius in terms of the characteristic polynomial of the global Frobenius  $Frob_q$  acting on the compactly supported cohomology  $H_c^i(U, \mathcal{F})$  where  $U$  is base change of  $U_0$  to  $\overline{\mathbb{F}_q}$ .

Because  $U_0$  is affine, its compactly supported cohomology are concentrated in degree 1 and 2. The degree 2 is the dual of  $H^0(U, \mathcal{F})$  up to some shift.

The idea of Katz's proof is that the moduli space of genus  $g$  curves are path connected (using existence of [space-filling curves over finite fields](#)), and if  $U_0$  is an affine curve connecting  $C_0$  and  $C_1$  where we know RH holds for  $C_0$ , then we can hope to bootstrap and prove RH for  $C_1$ . Say  $f : \mathcal{C} \rightarrow U_0$  is the curve fibration where the two end points are  $C_0$  and  $C_1$ .

Fact: There is a closed relationship between  $(R^i f_* \mathbb{Q}_\ell)_P$  and  $H^i(C_P, \mathbb{Q}_\ell)$  where  $P$  is a closed point of  $U_0$ . Say  $C_P$  is defined over  $\mathbb{F}_{NP}$ , then The fundamental compatibility is that

$$\det(1 - T Frob_P | R^i) = \det(1 - T Frob_{NP} | H^i(C_P, \mathbb{Q}_\ell))$$

We want to prove the local system  $R^1$  is pure of weight one. Replacing  $R^1$  by the one half Tate-twisted local system  $R^1 f_* Q_\ell(1/2)$  on which  $Frob_P$  is divided by  $(q^{1/2})^{\deg(P)}$ , we see that it suffices to show all eigenvalues of any  $Frob_P$  have, via any field embedding  $\iota : \overline{\mathbb{Q}}_\ell \rightarrow \mathbb{C}$ , absolute value  $\leq 1$  (Because then it implies on  $R^1$  itself, all eigenvalues of any  $Frob_P$  have, via  $\iota$ , absolute value  $\leq NP^{1/2}$ ; and from the functional equation the inequality is an equality).

We already know it for one closed point (corresponding to  $C_0$ ). The idea is to the cohomological expression of the  $L$ -function

$$L(U_0/\mathbb{F}_q, \mathcal{F}, T) = \frac{\det(1 - TFrob_q|H_c^1(U, \mathcal{F}))}{\det(1 - TFrob_q|H_c^2(U, F))}$$

(combined with the Rankin-Selberg trick!) implies that bounds on the absolute value of the image of the eigenvalues of  $Frob_q$  on  $H_c^2$  under  $\iota$  can be used to bound that of the local Euler factor (The key condition on  $\mathcal{F}$  is  $\iota$ -real, which implies for even tensor power  $\mathcal{F}^{\otimes 2k}$ , the local Euler factors are in  $1 + T\mathbb{R}_{\geq 0}[[T]]$ ).

But for  $H_c^2$  we have a description in terms of coinvariants. More precisely,  $H_c^2(U, \mathcal{F}) = (\mathcal{F})_{\pi_1^{geom}}(-1)$  and viewing these coinvariants as a quotient representation of, the action of  $(Frob_q)^{\deg(P_0)}$  is just the action of  $Frob_{P_0}$  on this quotient. In other words,  $\beta_{2k}^{\deg(P_0)}$  is among the eigenvalues of  $Frob_{P_0}$  on  $\mathcal{F}^{\otimes 2k}$ .

Reference:

<https://web.math.princeton.edu/~nmk/baby16.pdf>

[https://math.berkeley.edu/~fengt/Weil\\_I.pdf](https://math.berkeley.edu/~fengt/Weil_I.pdf)